

RECORDATION FORM COVER SHEET  
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U.S. DEPARTMENT OF COMMERCE

WEN-002

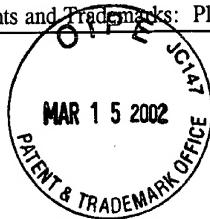
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

1. Seiki TOMITA
2. Koshu TAJITSU
3. Hidenori KANDA

Additional name(s) of conveying party(ies) attached No.



2. Name and address of receiving party(ies)

Name: NIDEK CO., LTD.

Internal Address:

Street Address:

7-9 SAKAE-CHO, GAMAGORI-SHI

City: AICHI-KEN, JAPAN

Additional name(s) & address(es) attached ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other: To correct the spelling of the Third Assignor's name - Applicant Error

Execution Date(s). June 21, 2001, June 22, 2001 and June 25, 2001

4. Application number(s) or patent number(s): 09/893,969

If the document is being filed together with a new application, the execution date of the application ).

Additional numbers attached No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald P. Kananen, Esq.

Internal Address: RADER, FISHMAN & GRAUER  
Suite 501

Street Address: 1233 20<sup>th</sup> Street, NW

City: Washington, D.C. Zip: 20036

6. Total number of applications and patents involved:..... 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☐ Enclosed

☒ Authorized to be charged to Deposit Account

8. Deposit account number:

18-0013

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald P. Kananen

Name of Person Signing

[Signature] 12314

Signature

Date March 15, 2002

Total number of pages comprising cover sheet 1



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SEPTEMBER 18, 2001

PTAS



\*101777293A\*

RADER, FISHMAN & GRAUER  
RONALD P. KANANEN, ESQ.  
SUITE 501  
1233 20TH STREET, NW  
WASHINGTON, D.C. 20036

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/29/2001

REEL/FRAME: 011964/0285

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TOMITA, SEIKI ✓

DOC DATE: 06/21/2001 ✓

ASSIGNOR:

TAJITSU, KOSHU ✓

DOC DATE: 06/22/2001 ✓

ASSIGNOR:

KANADA, HIDENORI ✓

DOC DATE: 06/25/2001 ✓

ASSIGNEE:

NIDEK CO., LTD.

7-9 SAKAE-CHO, GAMAGORI-SHI ✓

AICHI-KEN, JAPAN

SERIAL NUMBER: 09893969

FILING DATE: 06/29/2001

PATENT NUMBER:

ISSUE DATE:

COA

011964/0285 PAGE 2

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

07-16-2001



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FORM PTO-1364

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1. Seiki TOMITA
2. Kosu TAJITSU
3. Hidenori KANADA

06/29/01

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Ronald P. Kananen

Name of Person Signing

Signature

June 29, 2001

Date

Total number of pages comprising cover sheet 1

07/16/2001 AMMED1 00000032 100013 09893969

01 FC:581

40.00 CH

JC971 U.S. PTO  
09/893969

06/29/01

Docket Number:

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

---

MEDICAL APPARATUS AND MEDICAL SYSTEM

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for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, **NIDEK Co., Ltd.** located and doing business at **7-9 Sakae-cho, Gamagori-shi, Aichi-ken 443-0035 Japan** (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: \_\_\_\_\_, Filing Date: \_\_\_\_\_.

This assignment executed on the dates indicated below.

Name of first inventor

**Seiki TOMITA**

Residence of first inventor

**Gamagori-shi, Aichi-ken, JAPAN**

Signature of first inventor

Date of this assignment

*Seiki Tomita*

*6/21/2001*

Name of second inventor

**Koshu TAJITSU**

Residence of second inventor

**Nukata-gun, Aichi-ken, JAPAN**

Signature of second inventor

Date of this assignment

*Koshu Tajitsu*

*6/22/2001*

Name of third inventor

**Hidenori KANDA**

Residence of third inventor

**Okazaki-shi, Aichi-ken, JAPAN**

Signature of third inventor

Date of this assignment

*Hidenori Kanda*

*6/25/2001*

DC013762